

Plansee Public Due Diligence Report for FY2022/23 (March 1, 2022 - February 28, 2023)

The Plansee Public Due Diligence Report is structured in line with the Public Due Diligence Report Writing Guidance of the Responsible Minerals Assurance Process (RMAP) published by the Responsible Minerals Initiative (RMI) at www.responsiblemineralsinitiative.org.

1) Company information:

Company Name	Plansee High Performance Materials
Company location:	Headquarter: Metallwerk-Plansee-Straße 71, 6600 Reutte, Austria
3TG material processed:	Tungsten, Tantalum
Webpage:	www.plansee.com

Plansee is an expert in the field of molybdenum, tungsten, tantalum, niobium and chromium components.

Plansee is committed to ensuring that our raw materials are sourced responsibly for all our locations. Supplier Policy and Code of Conduct set forth our corporate commitments to ethical business practices and legal compliance and we only do business with suppliers that adhere to these commitments.

Plansee only buys from smelters that are included on the Conformant Smelter List of the Responsible Minerals Assurance Process (RMAP). (<http://www.responsiblemineralsinitiative.org>). If a company is not included in the Conformant Smelter List we request the CMRT (Conflict Minerals Reporting Template)

2) RMAP Assessment Summary for tantalum:

Date of last RMAP assessment	June 24, 2020
Assessment period	July 1, 2018 – June 24, 2020
Assessment firm	RCS Global

Tantalum re-audit and Tungsten audit scheduled for this year.

3) Company Supply Chain Policy:

As set forth in our Supplier Policy and Code of Conduct, Plansee is committed to responsible sourcing of all our raw materials and we require that all suppliers are committed to equally high standards.

Plansee's Supplier Policy and Code of Conduct is part of the company management system.

Our quality management systems and certifications can be found at:

<https://www.plansee.com/en/company/certifications.html>

4) Company Management System related to Responsible Raw Materials Sourcing:

Plansee has implemented processes and procedures to ensure that our sourcing does not support or benefit armed conflict groups or involve abuses of human rights.

Our Director of Procurement, Sandra Horninger (sandra.horninger@plansee.com), is assigned with responsibility for ensuring that conflict minerals are sourced in a legitimate and ethical manner and according to our policies and procedures.

Plansee uses SAP for tracking and recording of all raw material supplies from purchase, logistics, analysis and consumption.

Plansee uses distinct part numbers for each raw material type which ensures that purchased raw material type per Purchase Order is clearly identifiable. The Plansee receiving department is highly experienced in identifying raw material types and any discrepancies are reported to the Procurement Department. All incoming raw materials are sampled and analysed.

In case of concerns related to Plansee's sourcing and sourcing practices, all employees at Plansee, suppliers, customers and other stakeholders are encouraged to contact the Director of Procurement, the Legal Department or anonymously communicate their concerns related to Plansee at compliance-plansee-group@fs-pp.de.

5) Risk Identification:

Plansee only buys from smelters that are included on the Conformant Smelter List of the Responsible Minerals Assurance Process (RMAP) (<http://www.responsiblemineralsinitiative.org>). If a company is not included in the Conformant Smelter List we request the CMRT.

In accordance with the OECD Guidance Annex II (<https://www.oecd.org/daf/inv/mne/OECD-Due-Diligence-Guidance-Minerals-Edition3.pdf>), Plansee strongly condemns all activities and will refuse any material, which we believe benefitted or supported armed rebels/terrorist groups through illegal finance or other activities or involved serious human rights violations associated with the extraction, handling, transport or trade of minerals, including:

- Serious abuses (i.e. torture, cruel, inhuman and degrading treatment; forced for compulsory labour; worst forms of child labour; human rights violations and abuses such as widespread sexual violence; war crimes or other serious violations of international humanitarian law, crimes against humanity or genocide).
- Direct or indirect support to non-state armed groups.
- Direct or indirect support to public or private security forces.
- Bribery and fraudulent misrepresentation of the origin of minerals.
- Money laundering.
- Non-payment of taxes, fees and royalties to governments.


Plansee has implemented a standard process for Supplier Selection and Qualification (Evaluating/Selecting Suppliers per ISO Standard). All suppliers of raw material are required to complete and sign a supplier self-declaration stating that any material sold to Plansee is sourced according to our [Supplier Policy](#) and [Code of Conduct](#).

Plansee has strict rules and regulations when it comes to bribery and fraudulent misrepresentation of the origin of minerals, money laundering and non-payment of taxes, fees and royalties to governments.

During the period covered under this report no major risks were identified.

6) Concluding comments:

In the period reported Plansee only sourced conflict minerals originating from RMI certified smelters.



Ulrich Lausecker
Member of the Executive Board



Sandra Horninger
Director of Procurement

March 2023