



October 9, 2020

Ms. Brigitte Scheiber
Plansee SE
6600 Reutte, Austria
Brigitte.scheiber@plansee.com

Dear Ms. Scheiber,

Thank you for your participation in the Responsible Minerals Initiative's (RMI) [Downstream Assessment Program](#) (DAP) for downstream companies. Companies eligible for the program are engaged in the tin, tantalum, tungsten, gold or cobalt (3TG + C) supply chains, but do not meet the definition of a smelter or refiner as defined in the relevant RMAP Protocols, and therefore are not eligible to undergo the Responsible Minerals Assurance Process Audit. The RMI developed this voluntary program in response to requests for a mechanism for downstream companies to obtain independent validation of their responsible 3TG + C sourcing practices.

The program employs the OECD Due Diligence Guidance for Responsible Mineral Supply Chains from Conflict-Affected and High-Risk Areas (Guidance) to assess that, at a minimum:

- The company's due diligence system is designed in accordance with the Guidance;
- There is no reason to believe goods directly or indirectly financed or benefited armed groups that are perpetrators of serious human rights abuses in the DRC or an adjoining country; and
- All sources of 3TG + C are identified and confirmed to be:
 - Scrap or recycled material; and/or
 - Responsible Minerals Assurance Process (RMAP) or equivalent validated companies.

The program follows the ISO19011:2011 Standard for management systems audits.

Plansee SE completed an assessment on **24 June 2020** at the following location(s):

Plansee SE
6600 Reutte, Austria

This assessment resulted in no high-risk non-conformance findings.

Any low risk non-conformance or conform with continual improvement findings, if applicable, are included in the CAP tab of the workbook and will be reviewed at the time of the next assessment.

Companies who participate in the program receive a DAP Assessment Report and Workbook, as well as a DAP Summary Report that can be shared with interested customers or made public (confidential information redacted). RMI includes a public listing of conformant program participants online [here](#).

Sincerely,

RMI Staff